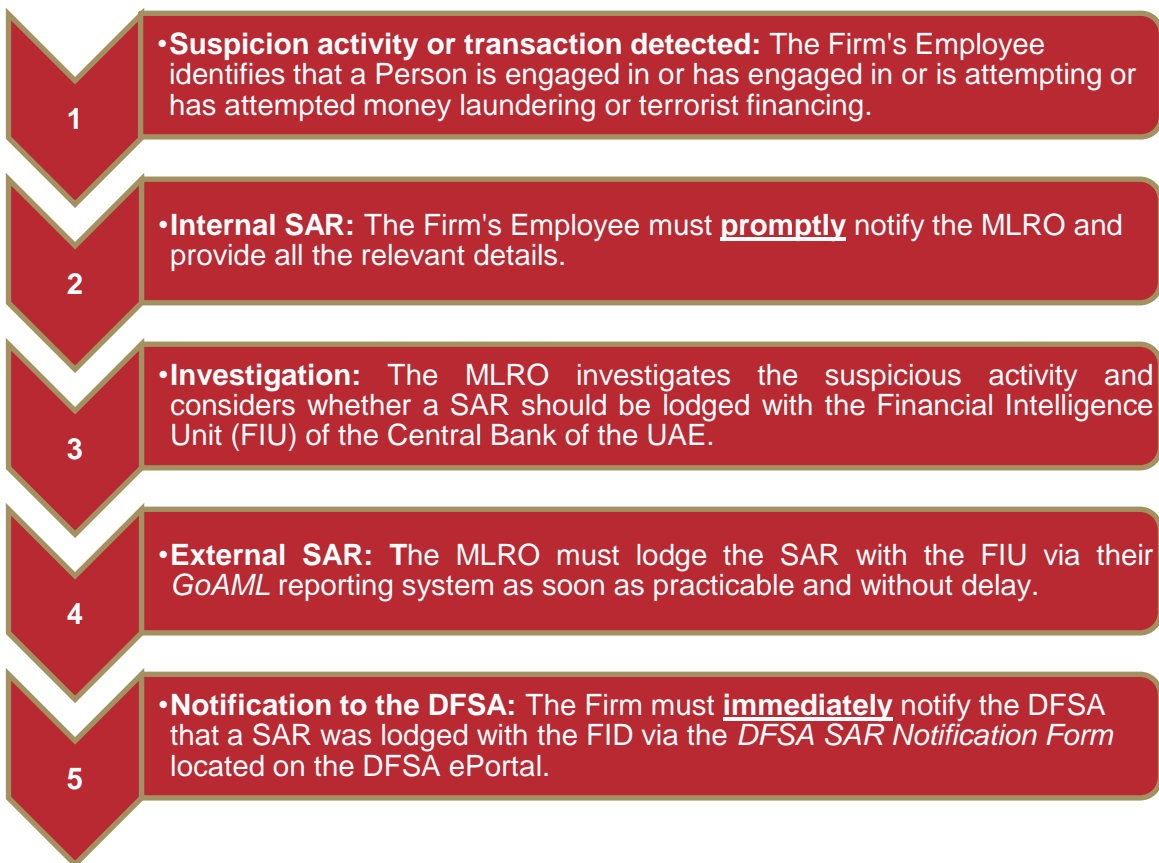


How to notify the DFSA that a Suspicious Activity Report has been filed with the FIU

The DFSA requires Relevant Persons to establish and maintain policies, procedures, systems and controls in order to monitor and detect suspicious activity or transactions in relation to potential money laundering or terrorist financing.

If an Employee knows, suspects, or has reasonable grounds for knowing or suspecting that a Person is engaged in or has engaged in or is attempting or has attempted money laundering or terrorist financing, that Employee must promptly notify the Relevant Person's MLRO and provide the MLRO with all relevant details.

The following process provides Relevant Persons with guidance on the SAR submission process:



The *DFSA SAR Notification Form* can be accessed through the [DFSA ePortal](#). Additional guidance on how to complete the *DFSA SAR Notification Form* can be found [here](#).